



Case 2761

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker et al.)
)
For: METHOD AND APPARATUS) Examiner: Not Yet Assigned
FOR PROVIDING CROSS-)
BENEFITS VIA A CENTRAL)
AUTHORITY)
)
)
Serial No.: 09/274,281) Group Art Unit: 2761
)
)
Filing Date: March 22, 1999) Docket No.: WD2-99-006

RECEIVED
AUG - 9 1999
FC 2700 MAIL ROOM

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Revocation and Appointment of Power of Attorney;
2. Copy of Recorded Assignment document; and
3. Return Receipt Postcard.

The Commissioner is authorized to charge any further required fees, or to credit any overpayment, to Deposit Account No. 50-0271. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,

Dean Alderucci

Attorney for Applicants
PTO Reg. No. 40,484
Walker Digital Corporation
One High Ridge Park
Stamford, CT 06905

August 2, 1999
Date

Certificate of Mailing

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on August 2, 1999.

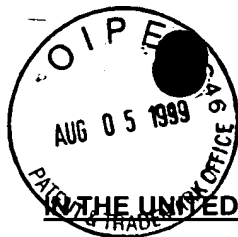
Amy E. Sauter

Name of Person Making Deposit

Signature

August 2, 1999

Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker et al.)

For: METHOD AND APPARATUS)
FOR PROVIDING CROSS-)
BENEFITS VIA A CENTRAL)
AUTHORITY)

Examiner: Not Yet Assigned

#4

Serial No.: 09/274,281)

Group Art Unit: 2761

Filing Date: March 22, 1999)

Docket No.: WD2-99-006

RECEIVED
AUG - 9 1999
TC 2700 MAIL ROOM

Commissioner of Patents and Trademarks
Washington, D.C. 20231

REVOCATION AND APPOINTMENT OF POWER OF ATTORNEY

Sir:

Walker Digital Corporation, the sole assignee and owner of the entire right, title and interest in the above-identified patent application, hereby revokes all previous powers of attorney and hereby appoints Dean Alderucci (PTO Reg. No. 40,484), Patrick J. Buckley (PTO Reg. No. 40,928), Steven M. Santisi (PTO Reg. No. 40,157), and Kurt Maschoff (PTO Reg. No. 38,235) as attorneys of record, all of Walker Digital Corporation located at One High Ridge Park, Stamford, Connecticut 06905-1326, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please address all written correspondence to:

Walker Digital Corporation
One High Ridge Park
Stamford, CT 06905-1326
Phone (203) 905-6500
Fax (203) 595-8266

Certificate Under 37 C.F.R. § 3.73(b)

Walker Digital Corporation, a corporation organized and existing under the laws of the state of Connecticut, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A. [X] An Assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the U.S. Patent and Trademark Office on March 22, 1999, at Reel 9844, Frame 0203, or for which a copy thereof is attached.

B. ☐ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____,
Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____,
Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____,
Frame _____, or for which a copy thereof is attached.
4. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____,
Frame _____, or for which a copy thereof is attached.

C. ☐ Copies of the assignments or other documents in the chain of title are attached.


The undersigned has reviewed all the documents in the chain of title of the patent application identified above and to the best of undersigned's knowledge and belief, title is in the assignee identified above.

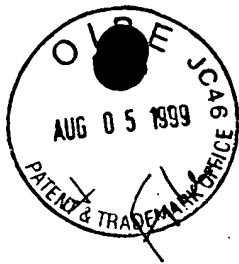
The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application identified above or any patent issuing thereon.

Walker Digital Corporation

Dated: 2-August-1999

By 
Jay S. Walker,
President of Walker Digital Corporation
as General Partner of Walker Asset
Management Limited Partnership



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

99-006

JUNE 10, 1999

PTAS

WALKER DIGITAL CORPORATION
DEAN ALDERUCCI
INTELLECTUAL PROPERTY DEPARTMENT
FIVE HIGH RIDGE PARK
STAMFORD, CT 06905-1326



100996853A

COPY

JUN 21 1999

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/22/1999

REEL/FRAME: 9844/0203
NUMBER OF PAGES: 10

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WALKER, JAY S.
BEMER, Keith

DOC DATE: 03/19/1999
DOC DATE: 03/22/1999

ASSIGNOR:

TEDESCO, DANIEL E.
JORASCH, James A.

DOC DATE: 03/22/1999
DOC DATE: 03/22/1999

ASSIGNOR:

TULLEY, STEPHEN
ALDERUCCI, Dean P.

DOC DATE: 03/22/1999
DOC DATE: 03/22/1999

ASSIGNOR:

PACKES, JOHN M., JR.
MIK, Magdalena

DOC DATE: 03/22/1999
DOC DATE: 03/22/1999

ASSIGNOR:

O'SHEA, ~~DIERRE~~ **DEIDRE**

DOC DATE: 03/22/1999

ASSIGNEE:

WALKER DIGITAL CORPORATION
FIVE HIGH RIDGE PARK
STAMFORD, CONNECTICUT 06905-1326

File No:	99-006
Attorney:	DPA
Due Date:	7/10/99
Docketed:	6/27/99

RECEIVED
AUG - 9 1999
700 MAIL ROOM

9844/0203 PAGE 2

SERIAL NUMBER: 09274281
PATENT NUMBER:

FILING DATE: 03/22/1999
ISSUE DATE:

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

09/27/99

3-22-99

03-31-1999

FORM PTO-1619A
Expires 06/30/99
OMB 0531-0027



100996853

U.S. Department of Commerce
Patent and Trademark Office
PATENT

U.S. PTO
187422/60
03/22/99

RECEIVED
AUG 9 1999
TC 2700 MAIL ROOM

RECORDATION FORM COVER SHEET PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New

☐ Resubmission (Non-Recordation)
Document ID#

☐ Correction of PTO Error
Reel # Frame #

☐ Corrective Document
Reel # Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1)

Name (line 2)

Second Party

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Execution Date
Month Day Year

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

3/31/1999 JSHABAZZ 00000060 500271 09274281

FOR OFFICE USE ONLY

1 FC:581 40.00 CH

Correspondent Name and Address

Area Code and Telephone Number

(203) 705-3006

Name Dean Alderucci

Address (line 1) Walker Digital Corporation

Address (line 2) Intellectual Property Department

Address (line 3) Five High Ridge Park

Address (line 4) Stamford, Connecticut 06905-1326

Pages

Enter the total number of pages of the attached conveyance document
including any attachments.

5

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT		PCT		PCT	
PCT		PCT		PCT	

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Enclosed ☐Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the
Deposit Account Number:

50-0271

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document. Charges to deposit account are authorized, as
indicated herein.

Dean Alderucci

Name of Person Signing

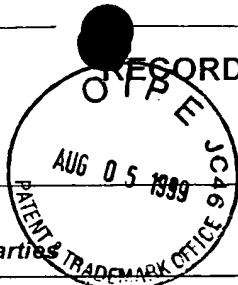
Signature

March 22, 1999

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT



Conveying Party(ies)

Enter additional Conveying Parties

☒ Mark if additional names of conveying parties attached

Name (line 1) Stephen TULLEY

Execution Date
Month Day Year
3-22-99

Name (line 2)

Name (line 1) John M. PACKES, Jr.

Execution Date
Month Day Year
3-22-99

Name (line 2)

Name (line 1) Dierdre O'SHEA

Execution Date
Month Day Year
3-22-99

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

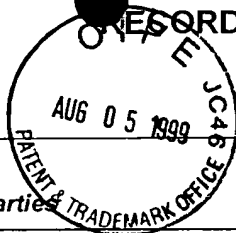
Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

18942760
03/22/99

Conveying Party(ies)

Enter additional Conveying Parties

☐ Mark if additional names of conveying parties attached

Name (line 1) Keith BEMER

Name (line 2)

Name (line 1) James A. JORASCH

Name (line 2)

Name (line 1) Dean P. ALDERUCCI

Name (line 2)

Execution Date
Month Day Year
3-22-99

Execution Date
Month Day Year
3-22-99

Execution Date
Month Day Year
3-22-99

TC 2700 MAIL ROOM

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

18742760
03/22/99

Conveying Party(ies)

Enter additional Conveying Parties

Mark if additional names of conveying parties attached

Name (line 1) Magdalena MIK

Name (line 2)

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Execution Date
Month Day Year
3-22-95

Execution Date
Month Day Year
AUG-9

Execution Date
Month Day Year
1599

TC 2700 MAIL ROOM

RECEIVED

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
WD2-99-006

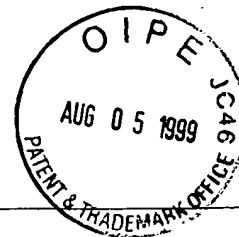
This is an Assignment of the following rights, title and interest: (check all that apply):

- ☒ *United States of America rights, title and interest in the invention*
☒ *Foreign rights, title and interest in the invention*
☐ *United States Patent Application Serial No. _____*

Date of Execution: _____

Date of Filing: _____

- ☐ *United States Provisional Patent Application Serial No. _____*
☐ *United States Patent No(s). _____*
☐ *International (PCT) Patent Application Serial No. _____*
☐ *Other (specify) _____*



RECEIVED
AUG - 9 1999
TC 2700 MAIL ROOM

Title of the Invention

METHOD AND APPARATUS FOR PROVIDING CROSS-BENEFITS VIA A CENTRAL AUTHORITY

Inventors (assignors)

<i>Name</i>	<i>Address</i>
Jay S. WALKER	124 Spectacle Lane, Ridgefield, Connecticut 06877
Daniel E. TEDESCO	192 Park Street, Apt. 6, New Canaan, Connecticut 06840
Stephen TULLEY	15 River Place, Stamford, Connecticut 06907
John M. PACKES, Jr.	21 Frankford Street, Hawthorne, NY 10532-1950
Dierdre O'SHEA	10 Manhattan Avenue, Apt. 2A, New York, NY 10025
Keith BEMER	225 East 95th Street, apt. 34 B, New York NY 10128
James A. JORASCH	25 Forest Street, Apt. 5G, Stamford, Connecticut 06901
Dean P. ALDERUCCI	19-8 Prospect Ridge Road, Ridgefield, Connecticut 06877
Magdalena MIK	10 South New Street, Greenwich, Connecticut 06820

Assignee

<i>Name</i>	<i>Address</i>
Walker Digital Corporation	Five High Ridge Park, Stamford, Connecticut 06905-1326

**Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)**

Docket No.
WD2-99-006

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

<i>Name</i>	<i>Signature/Date</i>
Jay S. WALKER	
Daniel E. TEDESCO	
Stephen TULLEY	
John M. PACKES, Jr.	
Dierdre O'SHEA	
Keith BEMER	
James A. JORASCH	
Dean P. ALDERUCCI	
Magdalena MIK	

**Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)**

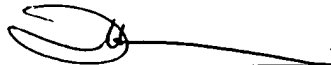
Docket No.

WD2-99-006

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 19th day of March, in the year 1999
at Stamford, Connecticut


(Signature of Inventor)

State of Connecticut


County of Fairfield

Before me personally appeared Jay S. WALKER
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 19th day of March, in the year 1999

MAUREEN E. DECK
NOTARY PUBLIC
MY COMMISSION EXPIRES SEP. 30, 2000


(Notary Public)

Executed this 22nd day of March, in the year 1999
at Stamford, Connecticut


(Signature of Inventor)

State of Connecticut

County of Fairfield

Before me personally appeared Daniel E. TEDESCO
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 22nd day of March, in the year 1999

MARGARET N. KASWER
NOTARY PUBLIC
MY COMMISSION EXPIRES DEC. 31, 1999


(Notary Public)

Executed this 22nd day of March, in the year 1999
at Stamford, Connecticut

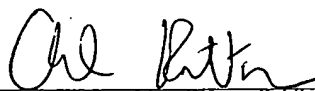

(Signature of Inventor)

State of Connecticut

County of Fairfield

Before me personally appeared Stephen TULLEY
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 22nd day of March, in the year 1999

CHARLES A. RATTNER
NOTARY PUBLIC
MY COMMISSION EXPIRES JUNE 30, 2003


(Notary Public)

Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
WD2-99-006

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 22nd day of March, in the year 1999
at Stamford, Connecticut

State of Connecticut

County of Fairfield

Before me personally appeared John M. PACKES, Jr.
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 22nd day of March, in the year 1999

CHARLES A. RATTNER
NOTARY PUBLIC

MY COMMISSION EXPIRES JUNE 30, 2003

Executed this 22nd day of March 22, in the year 1999
at Stamford, Connecticut

State of Connecticut

County of Fairfield

Before me personally appeared Deirdre O'SHEA
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 22nd day of March, in the year 1999

MARGARET N. KASWER
NOTARY PUBLIC
MY COMMISSION EXPIRES DEC. 31, 1999

Executed this 22nd day of March, in the year 1999
at Stamford, Connecticut

State of Connecticut

County of Fairfield

Before me personally appeared Keith BEMER
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 22nd day of March, in the year 1999

CHARLES A. RATTNER
NOTARY PUBLIC
MY COMMISSION EXPIRES JUNE 30, 2003

Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
WD2-99-006

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

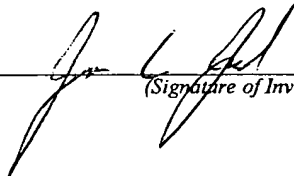
Executed this 22nd day of March, in the year 1999
at Stamford, Connecticut

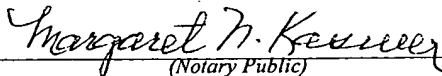
State of Connecticut

County of Fairfield

Before me personally appeared James A. JORASCH
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 22nd day of March, in the year 1999

MARGARET N. KASWER
NOTARY PUBLIC
MY COMMISSION EXPIRES DEC. 31, 1999


(Signature of Inventor)


(Notary Public)

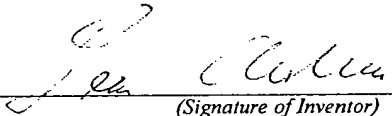
Executed this 22nd day of March, in the year 1999
at Stamford, Connecticut

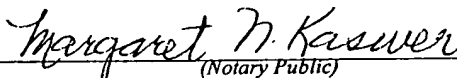
State of Connecticut

County of Fairfield

Before me personally appeared Dean P. ALDERUCCI
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 22nd day of March, in the year 1999

MARGARET N. KASWER
NOTARY PUBLIC
MY COMMISSION EXPIRES DEC. 31, 1999


(Signature of Inventor)


(Notary Public)

Executed this 22nd day of March, in the year 1999
at Stamford, Connecticut

State of Connecticut

County of Fairfield

Before me personally appeared Magdalena MIK
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 22nd day of March, in the year 1999

MARGARET N. KASWER
NOTARY PUBLIC
MY COMMISSION EXPIRES DEC. 31, 1999


(Signature of Inventor)


(Notary Public)